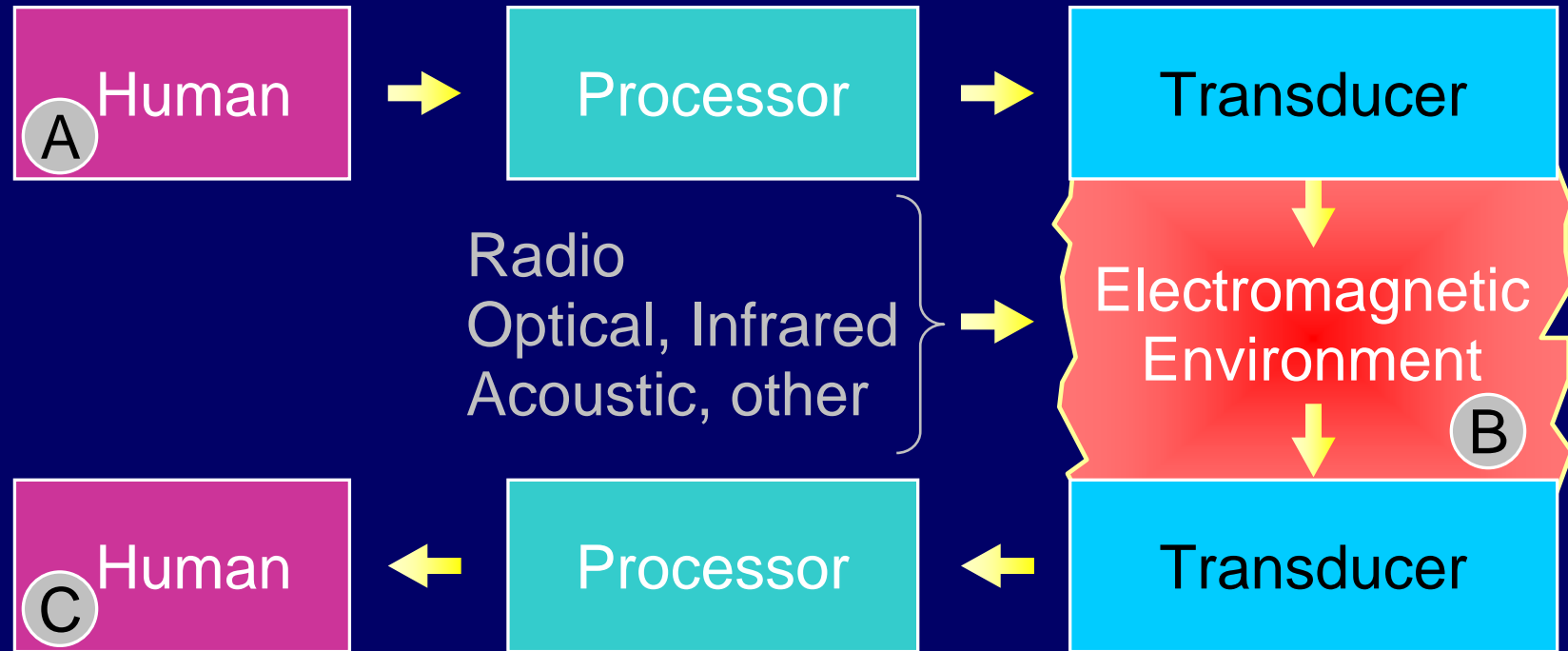


Receivers, Antennas, and Signals

Professor David H. Staelin

Subject Content



Communications: $A \rightarrow C$ (radio, optical)

Active Sensing: $A \rightarrow C$ (radar, lidar, sonar)

Passive Sensing: $B \rightarrow C$ (systems and devices:
environmental, medical, industrial,
consumer, and radio astronomy)

Subject Offers

- Physical concepts
- Mathematical methods, system analysis and design
- Applications examples
- Motivation and integration of prior learning

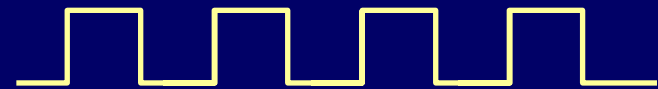
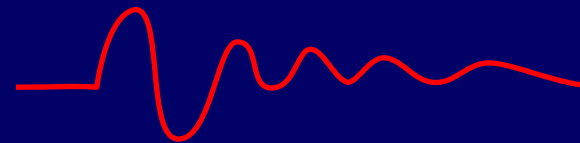
Subject Outline

- Review of signals and probability
- Noise in detectors and systems; physics of detectors
- Receivers and spectrometers; radio, optical, infrared
- Radiation, propagation, and antennas
- Signal modulation, coding, processing and detection
- Communications, radar, radio astronomy, and remote sensing
- Parameter estimation

Review of Signals

Signal Types to be Reviewed:

- Pulses (finite energy)
- Periodic signals (finite energy per period)
- Random signals (finite power, infinite energy)



Pulses $v(t)$

Have Finite Energy : $\int_{-\infty}^{\infty} |v(t)|^2 dt < \infty$

Define Fourier Transform:

$$\underline{V}(f) \triangleq \int_{-\infty}^{\infty} \underline{v}(t) e^{-j2\pi ft} dt \quad [\text{volts/Hz} = \text{volt sec}]$$

$$\underline{v}(t) \triangleq \int_{-\infty}^{\infty} \underline{V}(f) e^{+j2\pi ft} df \quad [\text{volts}]$$

Define notation " \leftrightarrow " for Fourier Transform :

e.g. $v(t) \leftrightarrow \underline{V}(f)$

Dimensions must only be self consistent;

e.g. $v(t)$ can be dimensionless, volts, meters, newtons, etc.

Energy Spectral Density S(f)

$$\underline{V}(f) \triangleq \int_{-\infty}^{\infty} \underline{v}(t) e^{\underbrace{-j2\pi ft}_{\omega}}$$

$$S(f) \triangleq |\underline{V}(f)|^2$$

S(f) can have dimensions of :

sec² if t is time and v is dimensionless

m² if t is distance and v is dimensionless

(volts/Hz)² if t is time and v(t) is volts
where $(v/\text{Hz})^2 = (v^2/\text{sec})(\text{Hz}) = (v/\text{sec})^2$

Joules/Hz if S(f) is dissipated in a 1-ohm resistor
by v(t) volts, where Joules = volts² • sec/ohm

Et cetera

Autocorrelation Function

$$R(\tau) \triangleq \int_{-\infty}^{\infty} v(t)v^*(t-\tau)dt \left[v^2 \text{ sec} \right] \text{ or } [J], \text{ etc.}$$

Claim: $R(\tau) \leftrightarrow S(f)$

$$S(f) \stackrel{?}{=} \int_{-\infty}^{\infty} R(\tau)e^{-j2\pi f\tau} d\tau = \int_{-\infty}^{\infty} \left\{ \int_{-\infty}^{\infty} v(t)v^*(\underbrace{t-\tau}_{\triangleq t'}) dt \right\} e^{-j2\pi f \underbrace{\tau}_{t-t'} \underbrace{d\tau}_{-dt'}} d\tau$$

$$= \left\{ \int_{-\infty}^{\infty} v(t)e^{-j2\pi ft} dt \right\} \bullet \left\{ \int_{-\infty}^{\infty} v^*(t')e^{+j2\pi ft'} dt' \right\}$$

Reverses sign of $-dt'$ for $t = \text{constant}$

$$S(f) = \underline{V}(f) \bullet \underline{V}^*(f) = |\underline{V}(f)|^2 \text{ Q.E.D.}$$

Therefore:

$$R(\tau) = \int_{-\infty}^{\infty} S(f)e^{+j2\pi f\tau} df$$

$$R(0) = \int_{-\infty}^{\infty} v^2(t) dt = \int_{-\infty}^{\infty} S(f) df \text{ Parseval's Theorem}$$

Compact Transform Notation

$$v(t) \leftrightarrow \underline{V}(f)$$

↓ ↓

$$R(\tau) \leftrightarrow |\underline{V}(f)|^2 \triangleq S(f)$$

$$[v] \leftrightarrow [v/\text{Hz}]$$

↓ ↓

$$[v^2 \text{ sec}] \leftrightarrow [v/\text{Hz}]^2$$

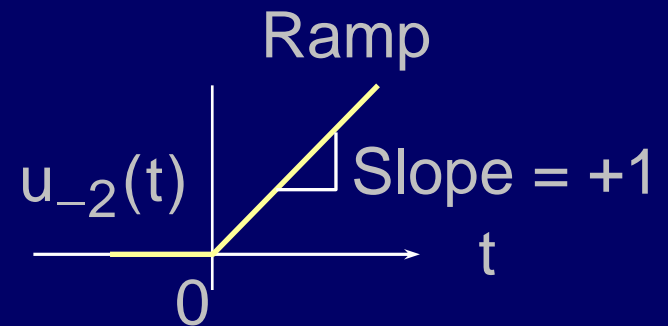
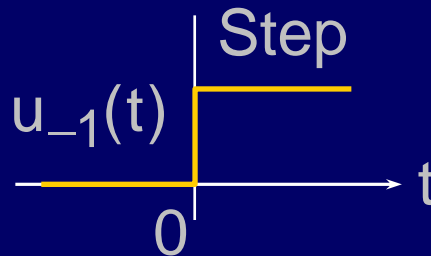
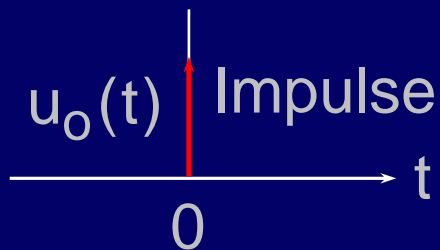
$$[\text{Joules}] \leftrightarrow [\text{J}/\text{Hz}]$$

If power is
dissipated in a
1-ohm resistor

Define "Unit Impulse"

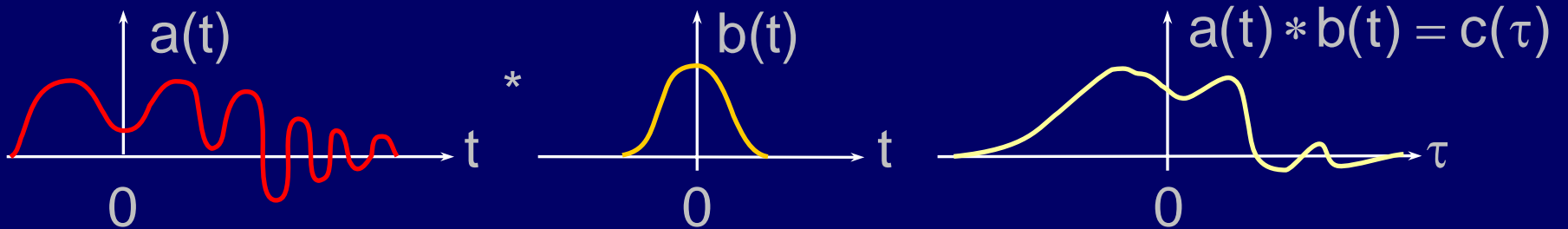
$$u_0(t) \triangleq \delta(t) \text{ where } \lim_{\varepsilon \rightarrow 0} \int_{-\varepsilon}^{\varepsilon} u_0(t) dt = 1, u_0(t) = 0 \text{ for } |t| > 0$$

$$u_{n-1}(t) \triangleq \int_{-\infty}^t u_n(t) dt$$



Define "Convolution"

$$a(t) * b(t) \triangleq \int_{-\infty}^{\infty} a(t) b(t - \tau) dt = c(\tau)$$



Useful Transformation Pairs for Pulses

$a(t)$	$\leftrightarrow \underline{A}(f)$		$\underline{A}(f) \triangleq \int_{-\infty}^{\infty} a(t) e^{-j2\pi ft} dt$	$\omega \triangleq 2\pi f$	
$u_0(t) = \delta(t)$	$\leftrightarrow 1$		Have ∞ energy (treated as special pulses)		
1	$\leftrightarrow u_0(f)$				
$a'(t)$	$\leftrightarrow j\omega \underline{A}(f)$			$a(t) = \int_{-\infty}^{\infty} \underline{A}(f) e^{j2\pi ft} df$	
$u_n(t)$	$\leftrightarrow (j\omega)^n$				
$a(t) e^{j\omega_0 t}$	$\leftrightarrow \underline{A}(f - f_0)$				$\omega_0 \equiv 2\pi f_0$
$a(t - t_0)$	$\leftrightarrow \underline{A}(f) e^{-j\omega t_0}$				
$u_{-1}(t) e^{-\alpha t}$	$\leftrightarrow 1/(j\omega + \alpha)$				

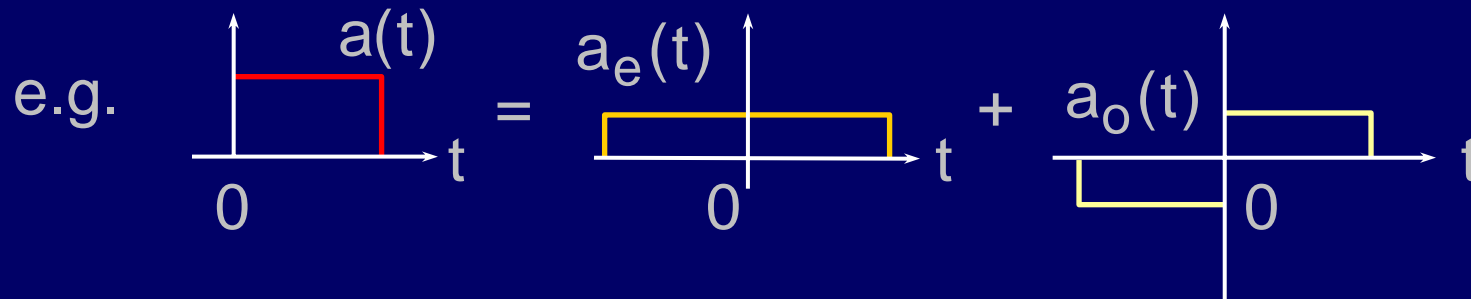
Transforms: Even/Odd Functions

$$a_e(t) \leftrightarrow \text{Re}\{A(f)\}$$

where: $a_e(t) \triangleq [a(t) + a(-t)]/2 = a_e(-t)$ EVEN

$$a_o(t) \triangleq [a(t) - a(-t)]/2 = a_o(-t)$$
 ODD

so: $a(t) = a_e(t) + a_o(t)$

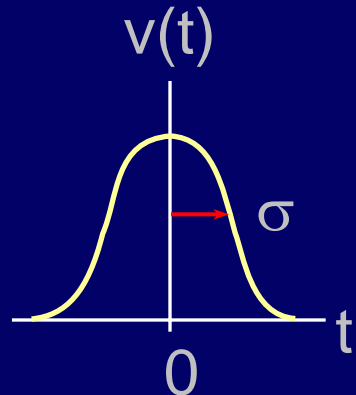


$$a_o(t) \leftrightarrow j \text{Im}\{A(f)\}$$

Transforms: Operators and Gaussians

$$a_1(t) \bullet a_2(t) \leftrightarrow \underline{A}_1(f) * \underline{A}_2(f)$$

$$a_1(t) * a_2(t) \leftrightarrow \underline{A}_1(f) \bullet \underline{A}_2(f)$$

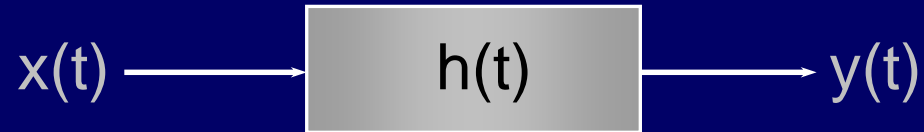


$$v(t) \triangleq \frac{A}{\sigma\sqrt{2\pi}} e^{-(t/\sigma)^2/2} \leftrightarrow A e^{-(\sigma\omega)^2/2}$$

$$\begin{array}{ccc} \downarrow & & \downarrow \\ \frac{A^2}{2\sigma\sqrt{\pi}} e^{-(t/\sigma\sqrt{2})^2/2} & \leftrightarrow & A^2 e^{-(\sigma\omega)^2} \end{array}$$

} All Gaussians

Linear Systems



Characterized by:

$h(t)$ = "Impulse Response," where

$y(t) \triangleq x(t) * h(t) \triangleq \int_{-\infty}^{\infty} x(\tau)h(t - \tau)d\tau$ ← "superposition integral"

Test : If $x(t) = \delta(t)$, then $y(t) = h(t)$

If $h(t) = \delta(t)$, then $y(t) = x(t)$

Note:

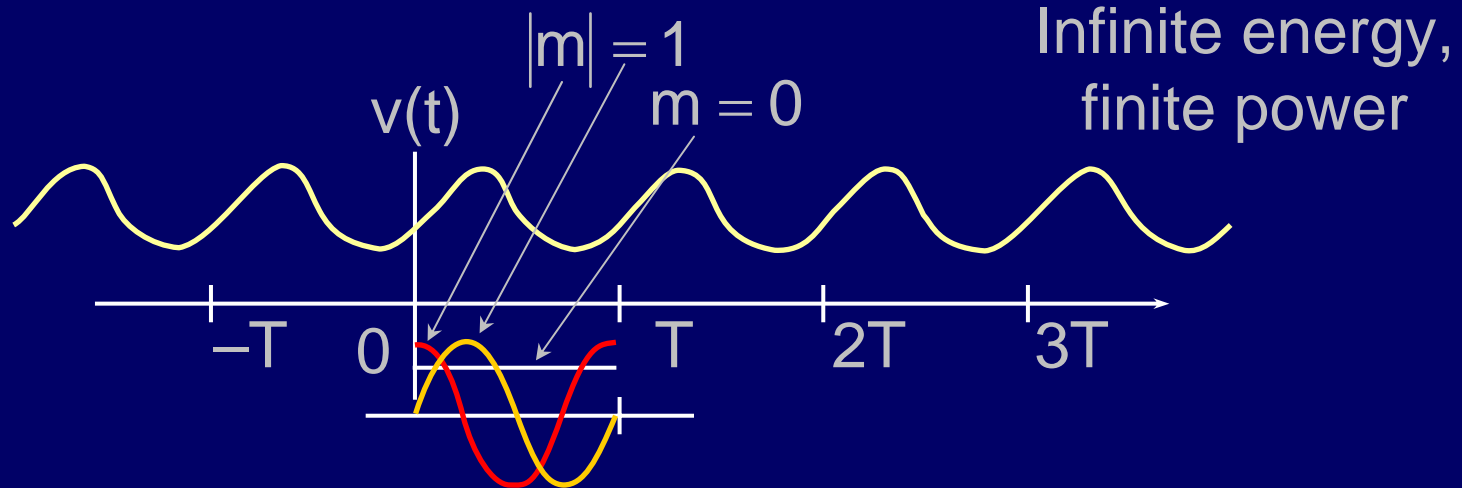
$A * (B + C) = (A * B) + (A * C)$ "Distributive"

$A * B = B * A$ "Commutative"

$A * (B * C) = (A * B) * C$ "Associative"

Periodic Signals

Although $\int_{-\infty}^{\infty} v^2(t) dt = \infty$, $\int_0^T v^2(t) dt < \infty$ where Period $\underline{\underline{=}} T$



Fourier Series:

$$\underline{V}_m \triangleq \frac{1}{T} \int_{-T/2}^{T/2} v(t) e^{-jm \underbrace{(2\pi/T)t}} dt \quad \text{where } m = 0, \pm 1, \pm 2, \dots$$

$$\omega_0 = 2\pi f_0$$

$$v(t) = \sum_{m=-\infty}^{\infty} \underline{V}_m e^{jm 2\pi f_0 t} \quad (f_0 \triangleq 1/T)$$

Autocorrelation, Power Spectrum

Autocorrelation Function:

$$R(\tau) \triangleq \frac{1}{T} \int_{-T/2}^{T/2} v(t)v^*(t-\tau)dt = \sum_{m=-\infty}^{\infty} |V_m|^2 e^{jm2\pi f_0 \tau}$$

Power Spectrum:

$$\Phi_m \triangleq |V_m|^2 = \frac{1}{T} \int_{-T/2}^{T/2} R(\tau) e^{-jm2\pi f_0 \tau} dt$$

Compact Notation

$$\begin{array}{ccc} v(t) & \leftrightarrow & \underline{V}_m \\ \downarrow & & \downarrow \\ R(\tau) & \leftrightarrow & |\underline{V}_m|^2 \triangleq \Phi_m \leftrightarrow \Phi(f) \end{array}$$

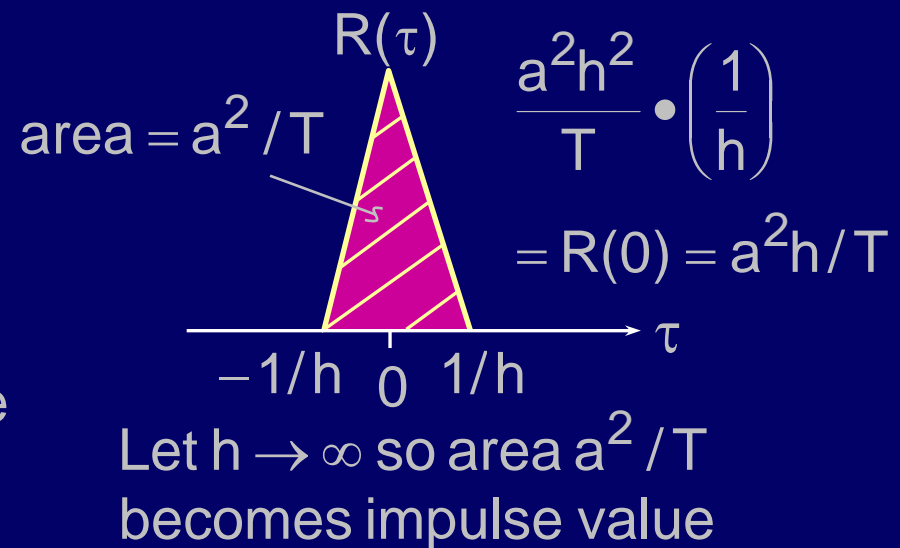
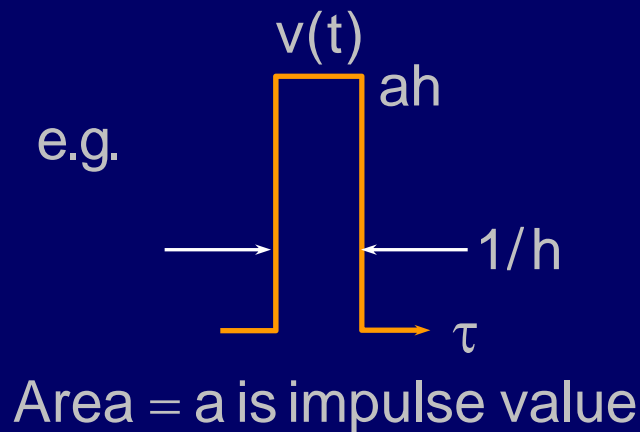
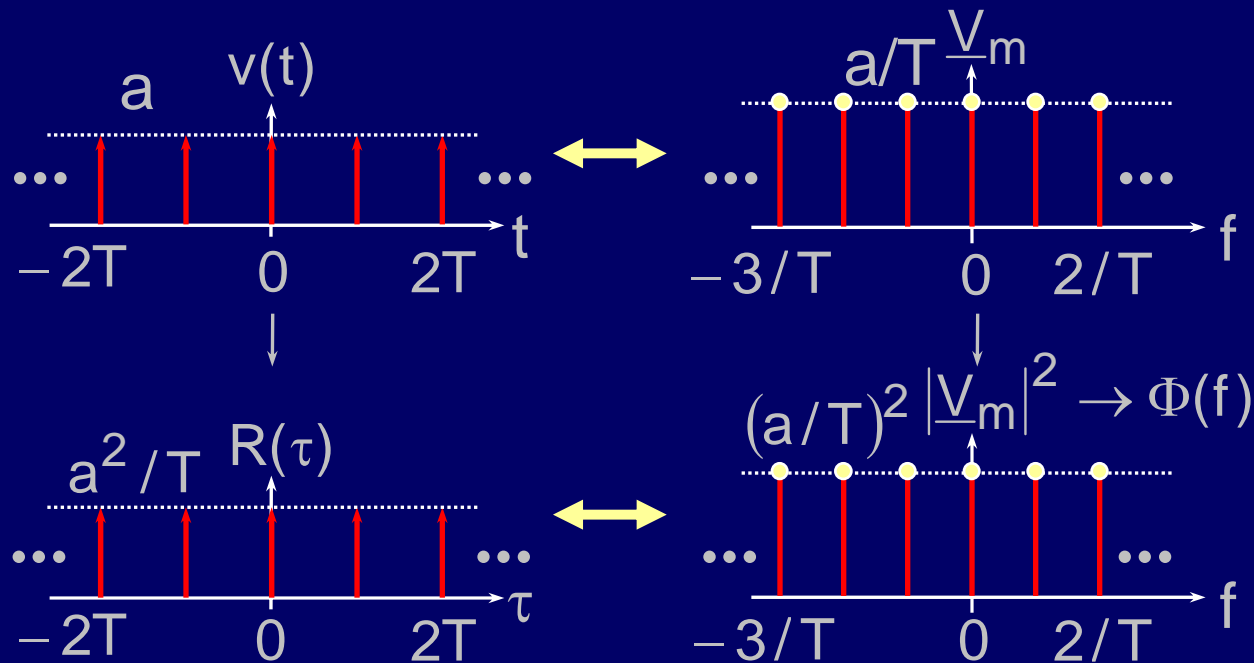
Typical dimensions:

$$\begin{array}{ccc} [\text{volts}] & \leftrightarrow & [\text{volts}] \\ \downarrow & & \downarrow \\ [\text{volts}^2] & \leftrightarrow & [\text{volts}^2] \end{array}$$

In 1-ohm resistor:

$$[\text{watts}] \leftrightarrow [W]$$

Transforms of Impulse Trains



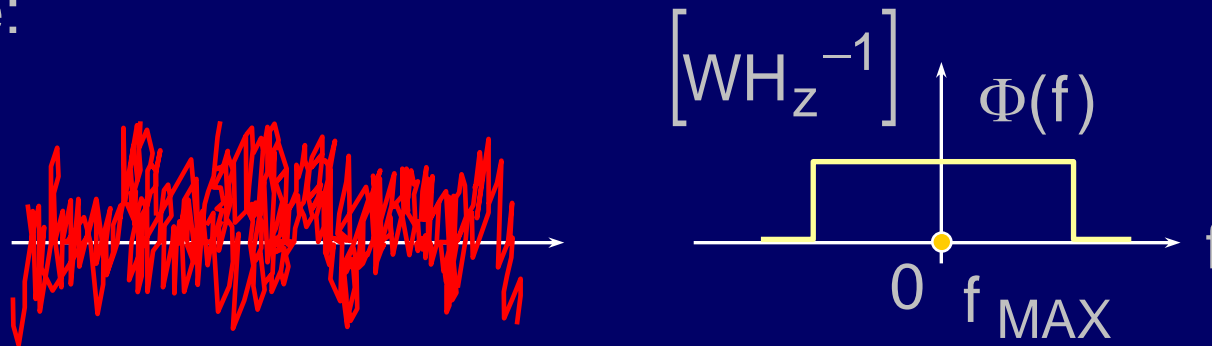
Receiver Noise Processes

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Random Signals

Random signals generally have finite power, infinite energy, and are unpredictable

Example:



Since we have infinite information for infinite time and a finite frequency band, then “ $\underline{V}(f)$ ” is not an analytic function and our approach must be different.

New definitions are required.

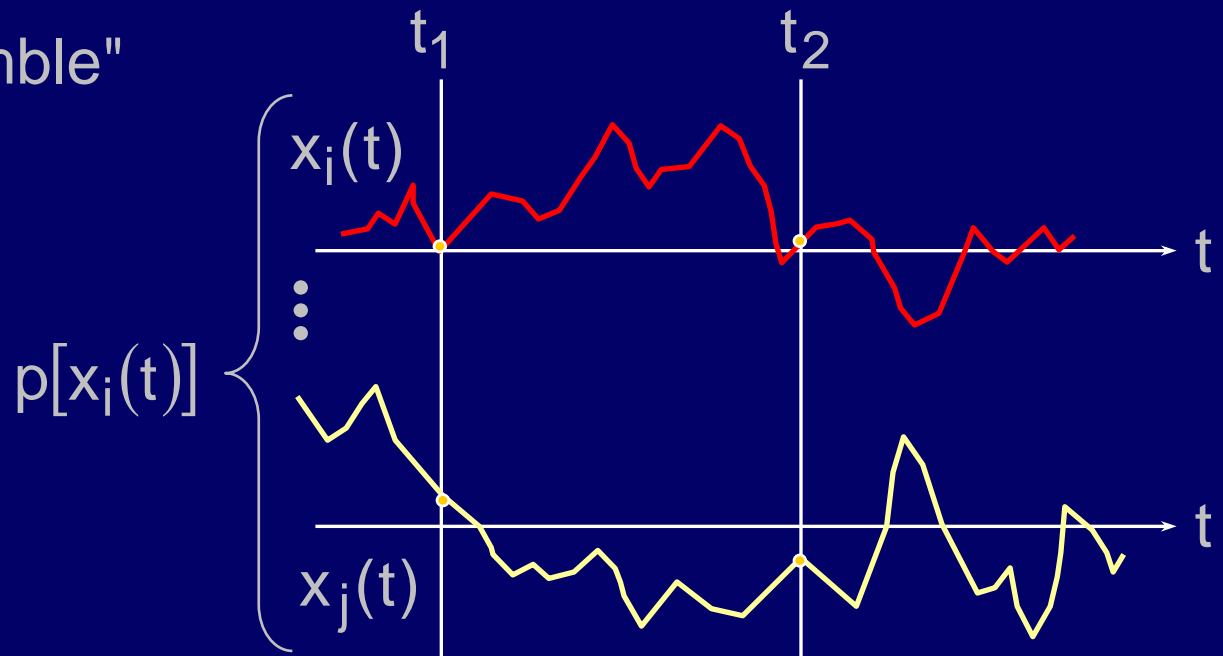
Expected Value of x

Finite or infinite ensemble of $x_i(t)$

$$E[x(t)] \triangleq \sum_i x_i(t) p\{x_i(t)\} \rightarrow \int_{-\infty}^{\infty} x p(x) dx$$

$x_i \in$ "ensemble"

$$\sum_i p(x_i) \triangleq 1$$



A "random signal" is drawn from some ensemble

$$\text{Autocorrelation Function : } \phi_v(t_1, t_2) \triangleq E[v(t_1)v^*(t_2)]$$

Stationarity

$v(t)$ is “wide-sense stationary” if:

$$\phi_v(t_1, t_2) = \phi_v(t_1 + \Delta, t_2 + \Delta) = \phi(\tau)$$

where $\tau \triangleq t_2 - t_1$ for all t_1, t_2, Δ

$v(t)$ is “strict-sense stationary” if:

$$E[g\{v(t_1), v(t_2), \dots, v(t_n)\}] = E[g\{v(t_1 + \Delta), v(t_2 + \Delta), \dots, v(t_n + \Delta)\}]$$

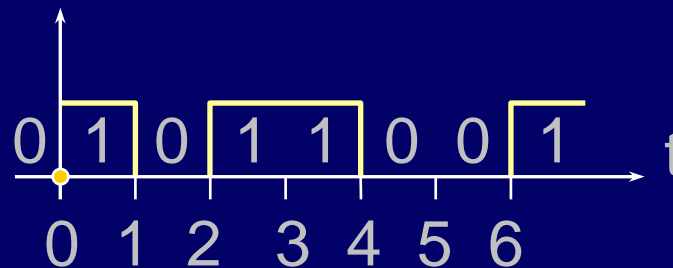
for any function g

$v(t)$ is “Ergodic” if: $v(t)$ is wide-sense stationary and

$$\phi_v(\tau) \stackrel{\text{def}}{=} \lim_{T \rightarrow \infty} \frac{1}{2T} \int_{-T}^T v(t) v^*(t - \tau) dt,$$

i.e., ensemble average = time average

Otherwise $v(t)$ is
 “Non-stationary” – e.g.:
 (time-origin sensitive)



transitions
 occur only
 at clock ticks

Transform Diagram: Random Signals

$$v(t) \leftrightarrow (?)$$

↓

↓

$$\phi_V(\tau) \leftrightarrow \Phi(f)$$

Typical Sets of Units

$$[V] \leftrightarrow (?) \quad [V] \leftrightarrow (?)$$

↓

↓

↓

↓

$$[V^2] \leftrightarrow [V^2/Hz] \quad [W] \leftrightarrow [W/Hz]$$

Power to
1-ohm resistor

Power
spectral
density

Power Spectral Density

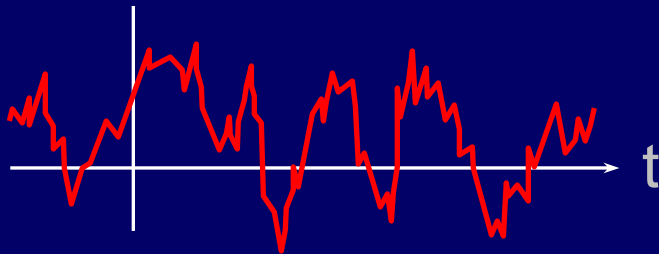
$$\Phi(f) = \lim_{T \rightarrow \infty} E \left[\frac{1}{2T} \left| \int_{-T}^T v(t) e^{-j2\pi ft} dt \right|^2 \right]$$

Why use $E[\]$ if $v(t)$ is ergodic?

Because $\lim \sigma_T^2(f) \neq 0!$ where $\sigma_T^2(f) \triangleq E[\Phi_T(f) - \Phi(f)]^2$

Spectral resolution increases with T ,
becoming infinite as $T \rightarrow \infty$

e.g.



Infinite information in finite
bandwidth unless ensemble
is averaged

Power Spectral Density Computation:

For a single ergodic waveform, take ensemble average over successive intervals of width $2T$. Use T adequate to yield desired or meaningful spectral resolution.