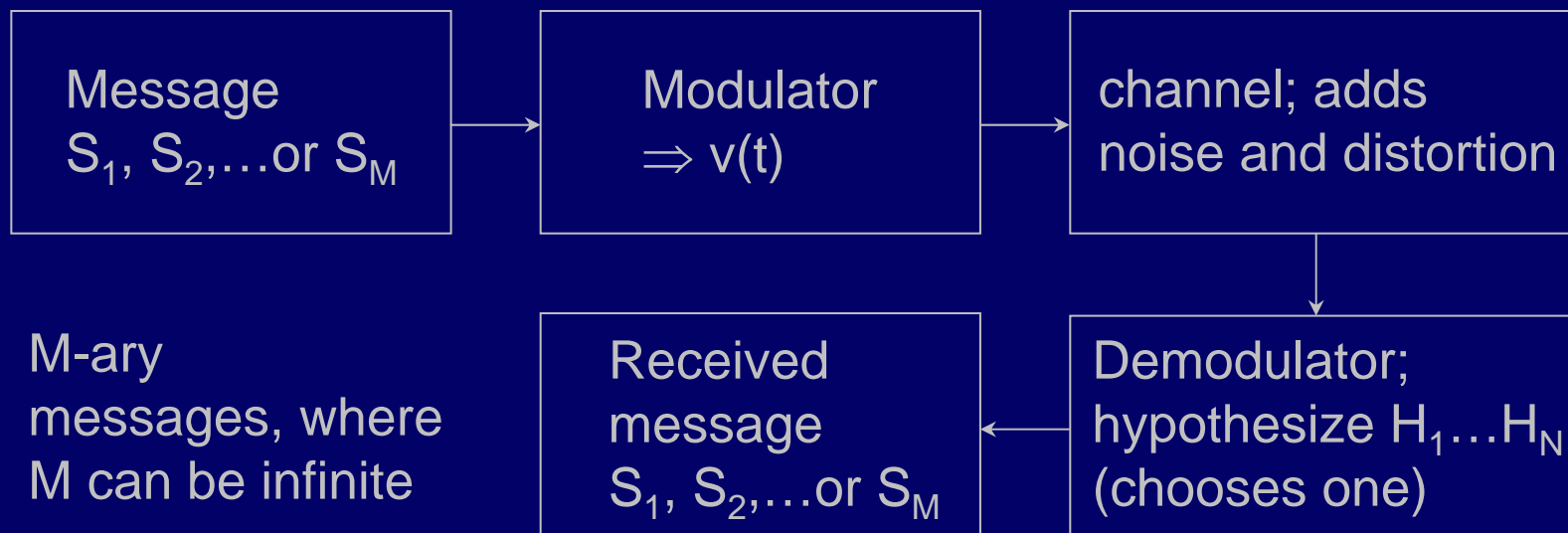


# Types of Communication

Analog: continuous variables with noise  $\Rightarrow$   
 $P\{\text{error} = 0\} = 0$  (imperfect)

Digital: decisions, discrete choices, quantized, noise  $\Rightarrow$   
 $P\{\text{error}\} \rightarrow 0$  (usually perfect)

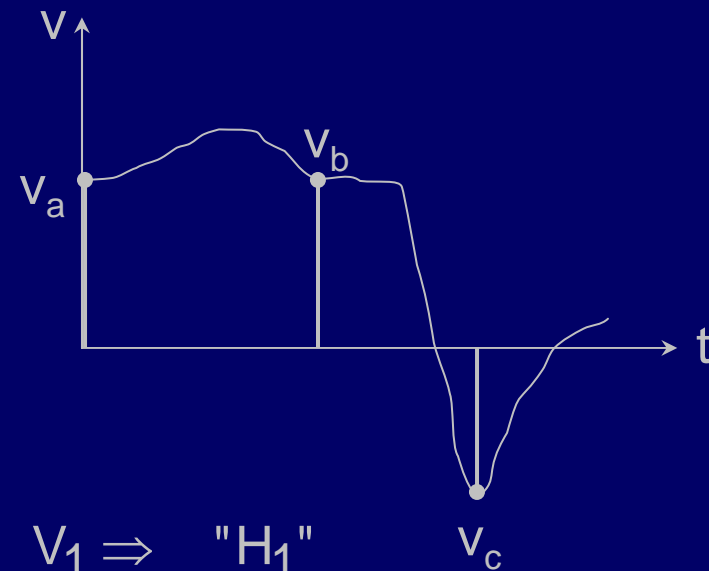
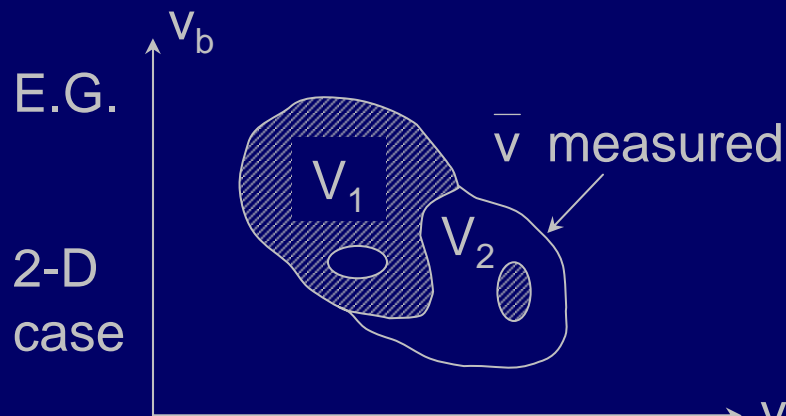


The channel can be radio, optical, acoustic, a memory device (recorder), or other objects of interest as in radar, sonar, lidar, or other scientific observations.

# Optimum Demodulator for Binary Messages

Hypothesis:	$H_1$	$H_2$	Probability a priori
Message: $S_1$	OK	ERROR	$P_1$
$S_2$	ERROR	OK	$P_2$

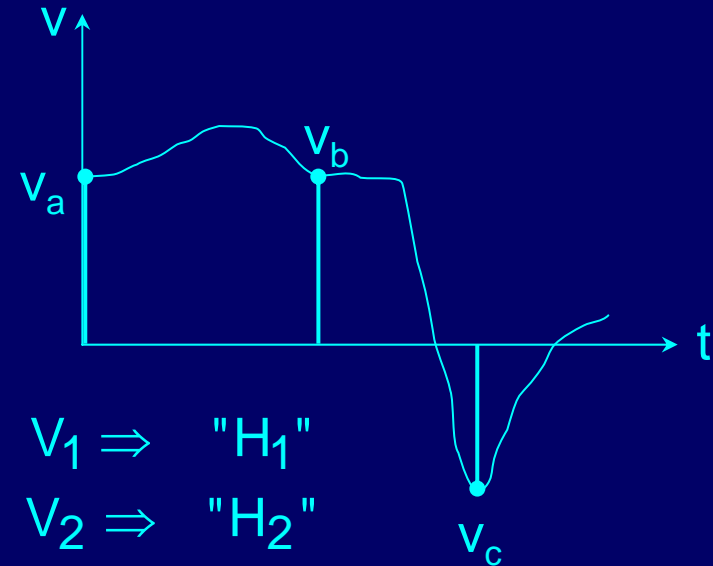
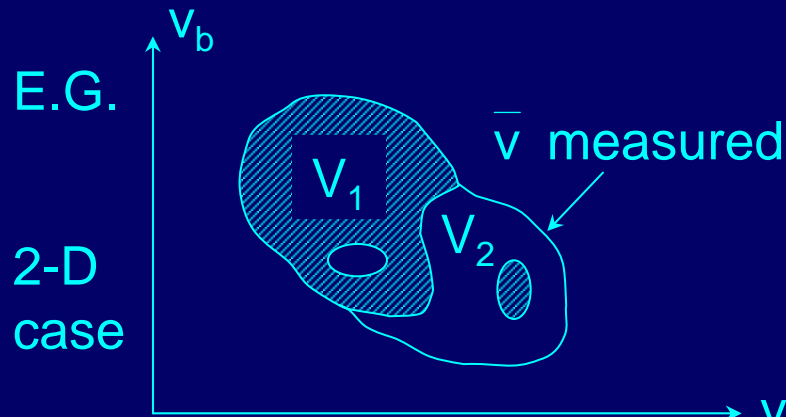
Demodulator design



$$\begin{aligned} \bar{v} \in V_1 &\Rightarrow \text{"H}_1\text{"} \\ \bar{v} \in V_2 &\Rightarrow \text{"H}_2\text{"} \end{aligned}$$

How to define  $V_1, V_2$ ?

# Optimum Demodulator for Binary Messages



How to define  $V_1, V_2$ ?

$$\begin{aligned} \bar{v} \in V_1 &\Rightarrow \text{"H}_1\text{"} \\ \bar{v} \in V_2 &\Rightarrow \text{"H}_2\text{"} \end{aligned}$$

Minimize  $P_{\text{error}} \triangleq P_e = P_1 \int_{V_2} p\{\bar{v} | S_1\} d\bar{v} + P_2 \int_{V_1} p\{\bar{v} | S_2\} d\bar{v}$

replace with  $\int_{V_1}$

$$= P_1 + \int_{V_1} [P_2 p\{\bar{v} | S_2\} - P_1 p\{\bar{v} | S_1\}] d\bar{v}$$

Note:  $\int_{V_1} p\{\bar{v} | S_1\} d\bar{v} + \int_{V_2} p\{\bar{v} | S_1\} d\bar{v} = 1$

# Optimum Demodulator for Binary Messages

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$$P_e = P_1 + \int_{V_1} [P_2 p\{\bar{v}|S_2\} - P_1 p\{\bar{v}|S_1\}] d\bar{v}$$

To minimize  $P_{\text{error}}$  , choose  $V_1 \ni P_1 p\{\bar{v}|S_1\} > P_2 p\{\bar{v}|S_2\}$

Very general solution  $\uparrow$   
[i.e., choose maximum *a posteriori* P (“MAP” estimate)]

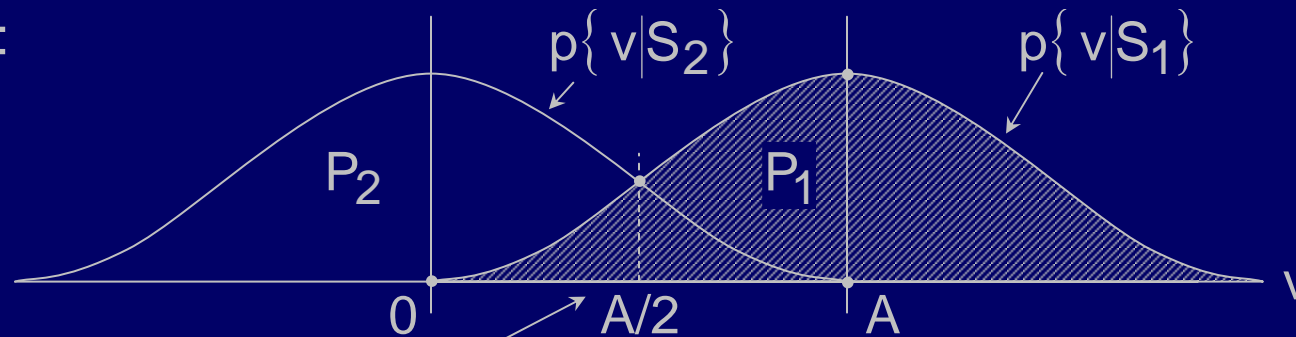
# Example: Binary Scalar Signal Case

$S_1 \triangleq A$  volts,  $S_2 \triangleq 0$  volts,  $\sigma_n^2 \triangleq N$ , Gaussian noise

$$\therefore p\{v|S_1\} = \frac{1}{\sqrt{2\pi N}} e^{-(v-A)^2/2N}$$

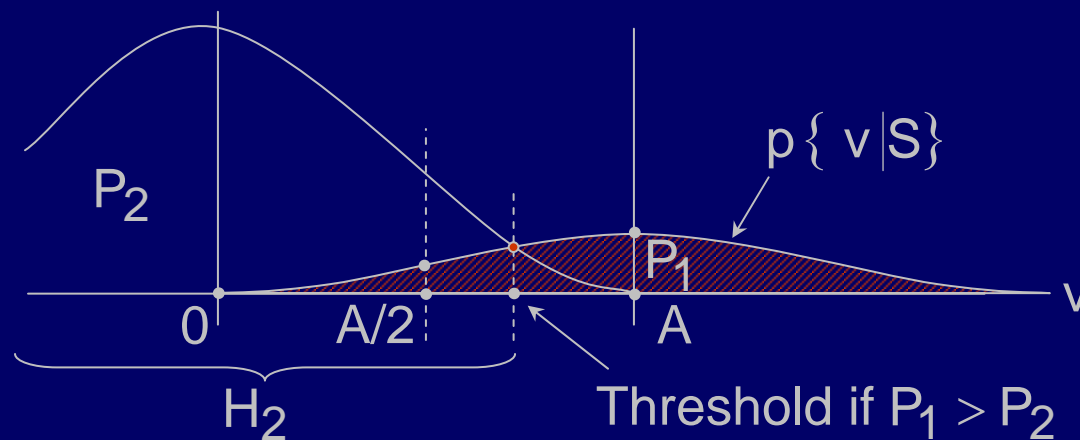
$$p\{v|S_2\} = \frac{1}{\sqrt{2\pi N}} e^{-v^2/2N}$$

If  $P_1 = P_2$ :



Decision threshold if  $P_1 = P_2$

(bias choice toward  $H_2$  and a *priori* information)



Threshold if  $P_1 > P_2$

# Rule For Defining $V_1$ : (Binary Scalar Case)

Choose  $V_1 \ni P_1 p\{\bar{v}|S_1\} > P_2 p\{\bar{v}|S_2\}$        $p\{v|S_2\} = \frac{1}{\sqrt{2\pi N}} e^{-v^2/2N}$

(binary case)  
"Likelihood ratio"

$$l \triangleq \frac{p\{\bar{v}|S_1\}}{p\{\bar{v}|S_2\}} > \frac{P_2}{P_1} \Rightarrow "V_1"$$

or (equivalently)

$$\ln l > \ln(P_2/P_1) \Rightarrow "V_1"$$

For additive Gaussian noise,

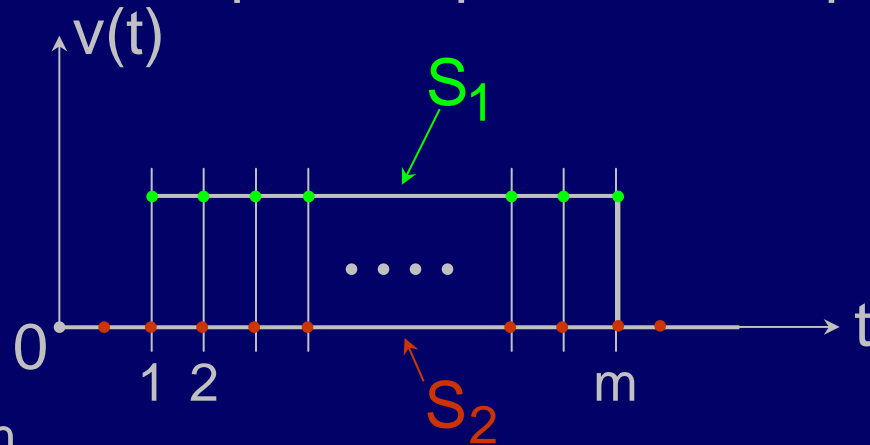
$$\ln l = \left[ -(v - A)^2 / 2N \right] + v^2 / 2N = (2vA - A^2) / 2N \stackrel{?}{>} \ln(P_2/P_1)$$

$$\therefore \text{choose } V_1 \text{ if } v > \frac{A^2 + 2N \ln(P_2/P_1)}{2A}, \text{ or } v > \frac{A}{2} + \underbrace{\frac{N}{A} \ln(P_2/P_1)}_{\text{bias}}$$

# Binary Vector Signal Case

For better performance, use multiple independent samples:

$$l \triangleq \frac{p\{\bar{v}|S_1\}}{p\{\bar{v}|S_2\}} \stackrel{?}{>} \frac{P_2}{P_1}$$



Here  $P\{v_1, v_2, \dots, v_m | S_1\} = \prod_{i=1}^m p\{v_i | S_1\}$  (independent noise samples)

$$\text{Where } p\{v_i | S_i\} = \frac{1}{\sqrt{2\pi N}} e^{-\frac{(v_i - S_{1i})^2}{2N}}$$

$$p\{\bar{v} | S_i\} = \frac{1}{(\sqrt{2\pi N})^m} e^{-\sum_{i=1}^m \frac{(v_i - S_{1i})^2}{2N}}$$

# Binary Vector Signal Case

$$p\{\bar{v}|S_i\} = \frac{1}{(\sqrt{2\pi N})^m} e^{-\sum_{i=1}^m (v_i - S_{1_i})^2 / 2N} \quad \ell \stackrel{\Delta}{=} \frac{p\{\bar{v}|S_1\}}{p\{\bar{v}|S_2\}} \stackrel{?}{>} \frac{P_2}{P_1}$$

Thus the test becomes:

$$\ln \ell = \frac{1}{2N} \left[ \underbrace{\sum_{i=1}^m (v_i - S_{2_i})^2}_{|\bar{v} - \bar{S}_2|^2} - \underbrace{\sum_{i=1}^m (v_i - S_{1_i})^2}_{|\bar{v} - \bar{S}_1|^2} \right] \stackrel{?}{>} \ln \frac{P_2}{P_1}$$

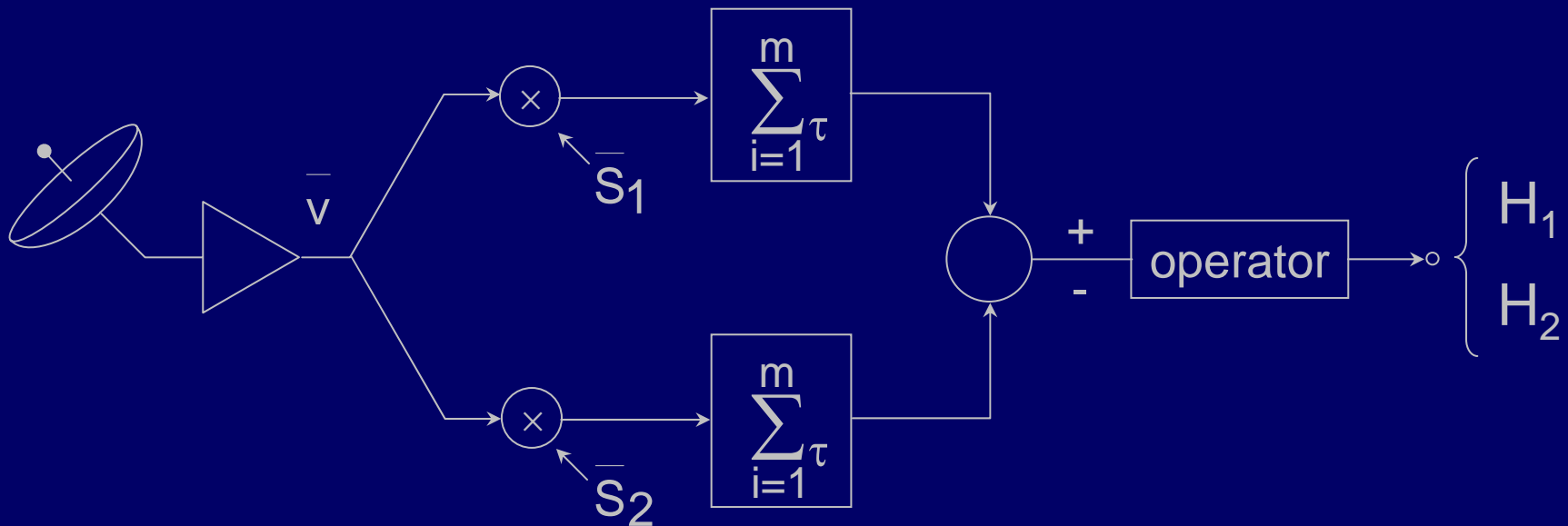
$$\text{But } |\bar{v} - \bar{S}_2|^2 - |\bar{v} - \bar{S}_1|^2 = -2\bar{v} \cdot \bar{S}_2 + 2\bar{v} \cdot \bar{S}_1 + \bar{S}_2 \cdot \bar{S}_2 - \bar{S}_1 \cdot \bar{S}_1$$

$$\text{Therefore } \bar{v} \in V_1 \text{ iff } \bar{v} \cdot (\bar{S}_1 - \bar{S}_2) > \underbrace{\frac{\bar{S}_1 \cdot \bar{S}_1^* - \bar{S}_2 \cdot \bar{S}_2^*}{2}}_{\text{Bias} = 0 \text{ if energy } E_1 = E_2} + N \underbrace{\ln \left( \frac{P_2}{P_1} \right)}_{\text{Bias} = 0 \text{ if } P_2 = P_1}$$



# Binary Vector Signal Case

$$V_1 \text{ iff } \bar{v}_1 \bullet (\bar{S}_1 - \bar{S}_2) > \frac{\bar{S}_1 \bullet \bar{S}_1 - \bar{S}_2 \bullet \bar{S}_2}{2} + N \ln \left( \frac{P_2}{P_1} \right)$$



Multiple hypothesis generalization:

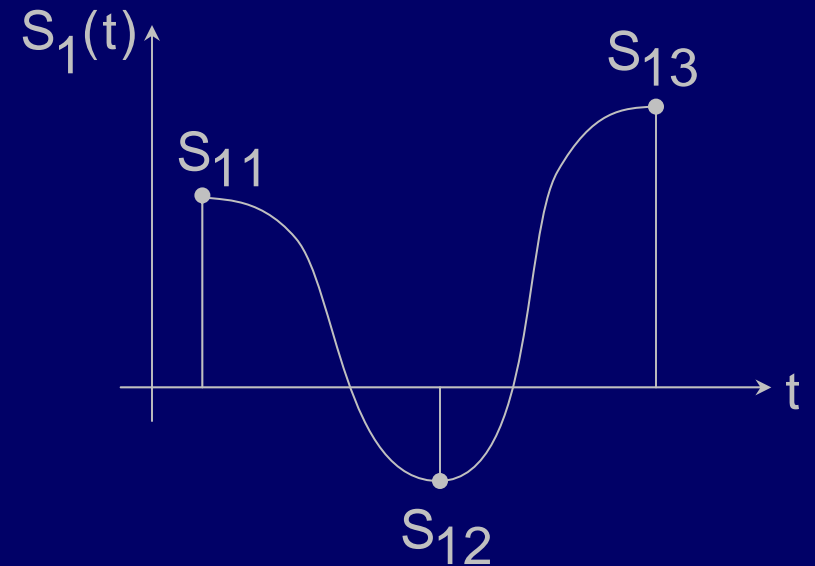
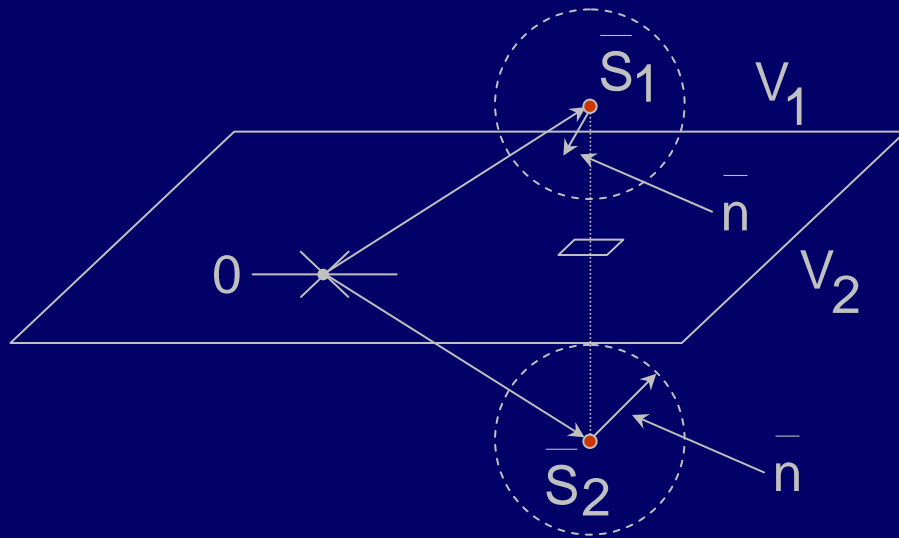
$$\text{Choose } H_i \text{ if } f_i \triangleq \bar{v} \bullet \bar{S}_i - \frac{\bar{S}_i \bullet \bar{S}_i}{2} + N \ln P_i \stackrel{?}{>} \text{all } f_{j \neq i}$$

This “matched filter” receiver minimizes  $P_{\text{error}}$

# Graphical Representation of Received Signals

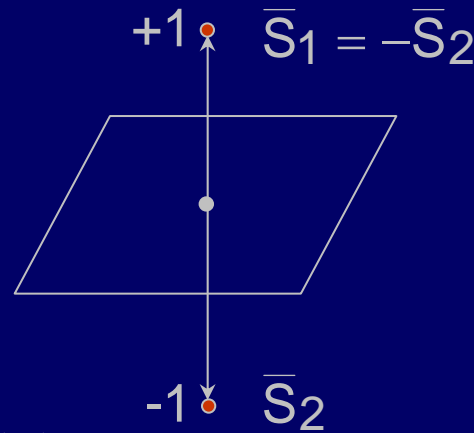
3-D Case:

$$\text{Average energy} = \sum_{i=1}^2 |\bar{S}_i|^2 P_i$$

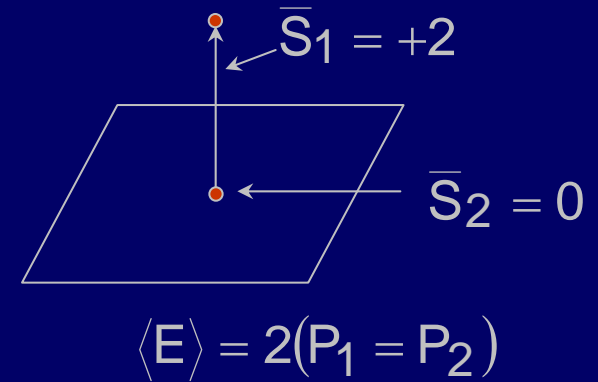


# Design of Signals $\bar{S}_i$

E.G. consider

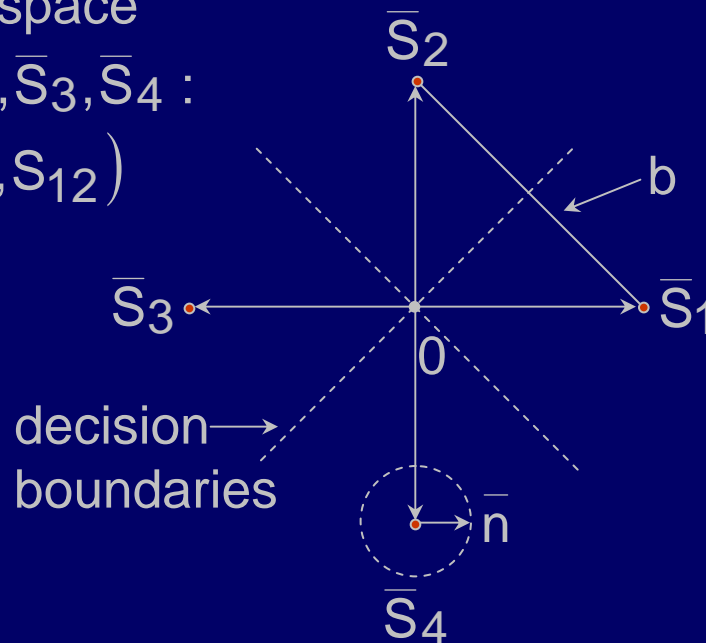


vs.

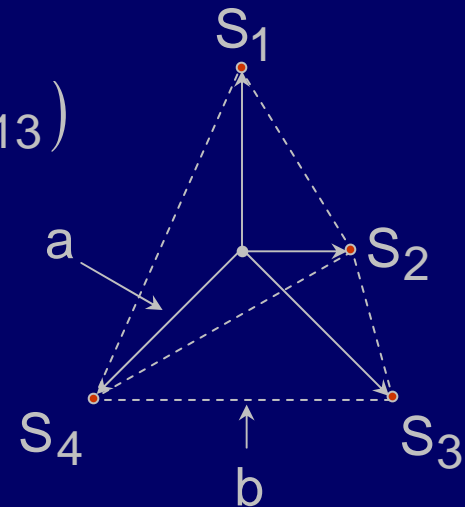


Average energy  $\langle |S|^2 \rangle = \langle E \rangle = 1$

E.G. 2-D space  
for  $\bar{S}_1, \bar{S}_2, \bar{S}_3, \bar{S}_4$  :  
( $\bar{S}_1 = S_{11}, S_{12}$ )



3-D space  
( $\bar{S}_1 = S_{11}, S_{12}, S_{13}$ )

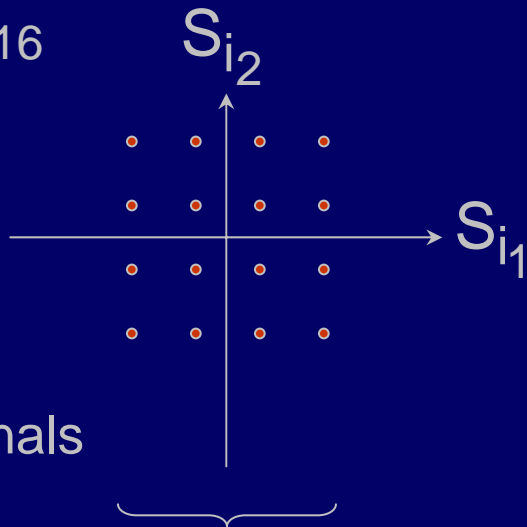


Better  $\frac{b}{a}$  ratio

# Design of Signals $\bar{S}_i$

2-D space:

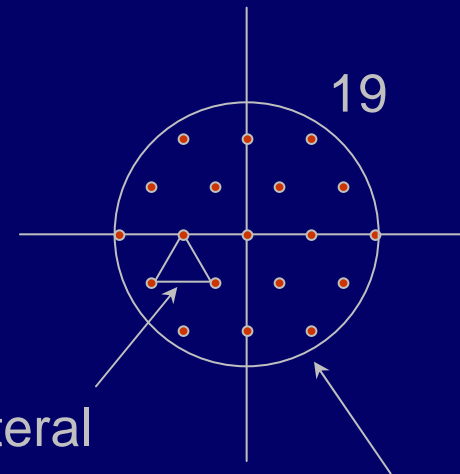
$\bar{S}_1, \dots, \bar{S}_{16}$



16-ary signals

or magnitude/phase

vs



equilateral triangle

slightly lower average signal energy for same  $p\{\text{error}\}$

n-Dimensional sphere packing optimization unsolved

# Calculation of $p\{\text{error}\} \triangleq P_e$ :

Binary case:

For additive Gaussian noise, optimum is

$$\text{"H}_1\text{" if } \bar{v} \cdot (\bar{S}_1 - \bar{S}_2) > \frac{|\bar{S}_1|^2 - |\bar{S}_2|^2}{2} + N \ln \frac{P_2}{P_1}$$

Where  $\bar{v} = \bar{S} + \bar{n}$

$$N \triangleq \overline{n^2(t)} = N_0 B = kT_s B \quad (N_0/2 \text{ [W Hz}^{-1}\text{]} \times 2B, \text{ double sideband})$$

$$P_e|_{S_1} = p \left\{ \bar{v} \cdot (\bar{S}_1 - \bar{S}_2) < \frac{|\bar{S}_1|^2 - |\bar{S}_2|^2}{2} + N \ln \frac{P_2}{P_1} \right\}$$

$$= p \left\{ \underbrace{\bar{n} \cdot (\bar{S}_1 - \bar{S}_2)}_{y \cdot 2B[\text{GRVZM}]} < \underbrace{\frac{-|\bar{S}_1 - \bar{S}_2|^2}{2} + N \ln \frac{P_2}{P_1}}_{-b \cdot 2B} \right\} = p\{y < -b\}$$

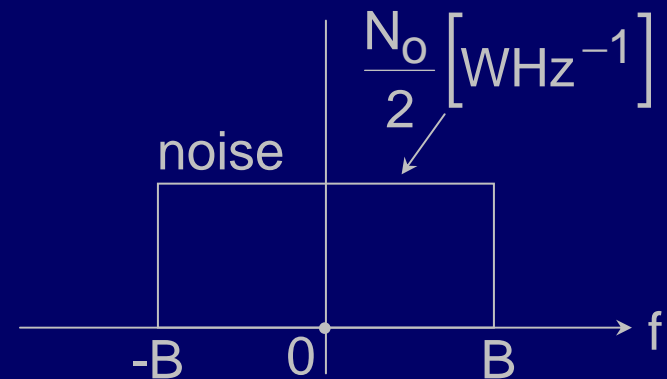
# Duality of Continuous and Sampled Signals

$$P_e|_{S_1} = p \left\{ \underbrace{\bar{n} \cdot (\bar{S}_1 - \bar{S}_2)}_{y \cdot 2B [\text{GRVZM}]} < \underbrace{\frac{-|\bar{S}_1 - \bar{S}_2|^2}{2} + N \ln \frac{P_2}{P_1}}_{-b \cdot 2B} \right\} = p\{y < -b\}$$

Conversion to continuous signals assuming nyquist sampling is helpful here,  $S_1(t)[0 < t < T] \leftrightarrow \bar{S}_1$  ( $2BT$  samples, sampling theorem)

$$y \triangleq \int_0^T n(t) \cdot [S_1(t) - S_2(t)] dt$$

$$b \triangleq \frac{1}{2} \int_0^T [S_1(t) - S_2(t)]^2 dt - \frac{N_0}{2} \ln(P_2/P_1)$$



$$\sigma_y^2 \triangleq E[y^2] = E \left\{ \left[ \frac{1}{2B} \sum_{j=1}^{2BT} n_j (S_{1j} - S_{2j}) \right]^2 \right\}$$

# Calculation of $P_e$ , continued

$$\sigma_y^2 \triangleq E[y^2] = E \left\{ \left[ \frac{1}{2B} \sum_{j=1}^{2BT} n_j (S_{1j} - S_{2j}) \right]^2 \right\}$$

$$= \left( \frac{1}{2B} \right)^2 E \left\{ \sum_{i=1}^{2BT} \sum_{j=1}^{2BT} n_i n_j (S_{1i} - S_{2i}) (S_{1j} - S_{2j}) \right\}$$

where  $E[n_i n_j] = N \delta_{ij}$

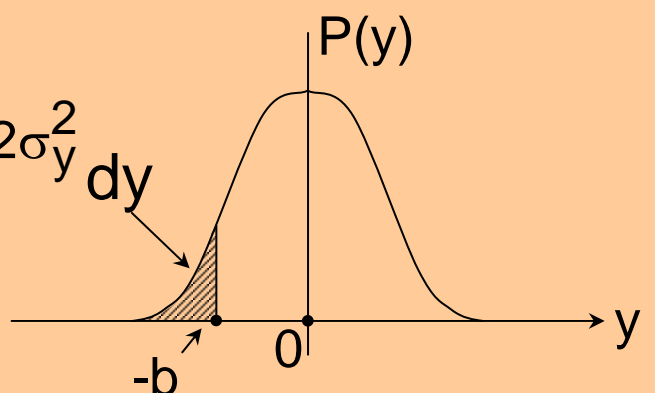
$$\sigma_y^2 = \left( \frac{1}{2B} \right)^2 \underbrace{N}_{N_0 B} \underbrace{|\bar{S}_1 - \bar{S}_2|^2}_{\frac{1}{2B} \int_0^T [S_1(t) - S_2(t)]^2 dt} = \frac{N_0}{2} \int_0^T [S_1(t) - S_2(t)]^2 dt$$

# Calculation of $P_e$ , continued

$$\sigma_y^2 = \left(\frac{1}{2B}\right)^2 \underbrace{N}_{N_0 B} \underbrace{|\bar{S}_1 - \bar{S}_2|^2}_{\frac{1}{2B} \int_0^T [S_1(t) - S_2(t)]^2 dt} = \frac{N_0}{2} \int_0^T [S_1(t) - S_2(t)]^2 dt$$

$$p(y) = \frac{1}{\sqrt{2\pi\sigma_y^2}} e^{-y^2/2\sigma_y^2} \text{ (GRVZM)}$$

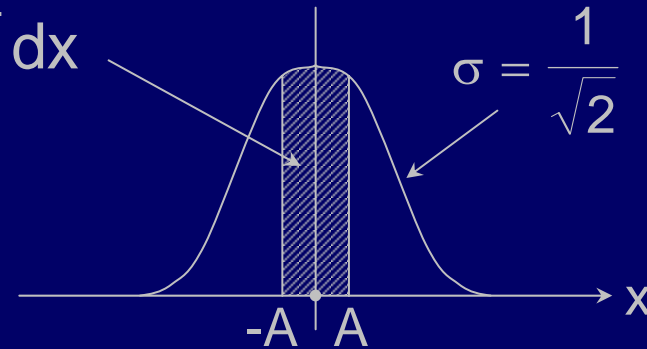
Therefore:

$$P_e | S_1 = \int_{-\infty}^{-b} \frac{1}{\sqrt{2\pi\sigma_y^2}} e^{-y^2/2\sigma_y^2} dy$$




# Definition of ERFC(A)

“Error function”  $\text{ERF}(A) \triangleq \frac{1}{\sqrt{\pi}} \int_{-A}^A e^{-x^2} dx$



“Complementary error function”

$$\text{ERFC}(A) \triangleq 1 - \text{ERF}(A)$$

Then  $P_{e|s_1} = \frac{1}{2} \text{ERFC}(A)$ , where  $A$  must be found

If we let  $x^2 = y^2 / 2\sigma_y^2$  then

$$\text{ERF}(A) = \frac{1}{\sqrt{\pi}} \int_{-A}^A e^{-x^2} dx = \frac{1}{\sqrt{2\pi\sigma_y^2}} \int_{-A\sigma_y\sqrt{2}}^{A\sigma_y\sqrt{2}} e^{-y^2/2\sigma_y^2} dy$$

where the new limits  $A\sigma\sqrt{2}$  and factor  $1/\sqrt{2\pi\sigma_y^2}$  arise as follows:

# Definition of ERFC(A)

$$\text{ERF}(A) = \frac{1}{\sqrt{\pi}} \int_{-A}^A e^{-x^2} dx = \frac{1}{\sqrt{2\pi\sigma_y^2}} \int_{-A\sigma_y\sqrt{2}}^{A\sigma_y\sqrt{2}} e^{-y/2\sigma_y^2} dy$$

where the new limits  $A\sigma\sqrt{2}$  and factor  $1/\sqrt{2\pi\sigma_y^2}$  arise as follows:

Since  $x = y/\sigma_y\sqrt{2}$ , the limit  $x = A = y/\sigma_y\sqrt{2}$

becomes a limit where  $y = A\sigma_y\sqrt{2}$

Also,  $dx = dy/\sigma_y\sqrt{2}$  so  $1/\sqrt{\pi}$  becomes  $1/\sqrt{2\pi\sigma_y^2}$

# Solution for $P_e$ for Binary Signals

$$P_e|_{S_1} = \frac{1}{2}\text{ERFC}(A) = \frac{1}{2}\text{ERFC}(b/\sigma_y\sqrt{2}) \quad \text{and} \quad P_e = P_1P_e|_{S_1} + P_2P_e|_{S_2}$$

(where the limit  $b = A\sigma_y\sqrt{2}$ , so  $A = b/\sigma_y\sqrt{2}$ )

If  $P_1 = P_2 = \frac{1}{2}$ , and since  $P_e|_{S_1} = P_e|_{S_2}$ , then

$$P_e = \frac{1}{2}\text{ERFC}(b/\sigma_y\sqrt{2})$$

$$= \frac{1}{2}\text{ERFC} \left[ \frac{\frac{1}{2} \int_0^T [S_1(t) - S_2(t)]^2 dt}{\sqrt{2} \sqrt{(N_0/2) \int_0^T [S_1(t) - S_2(t)]^2 dt}} \right]$$

# Solution for $P_e$ for Binary Signals

$$P_e = \frac{1}{2} \text{ERFC} \left[ \frac{\frac{1}{2} \int_0^T [S_1(t) - S_2(t)]^2 dt}{\sqrt{2} \sqrt{(N_0/2) \int_0^T [S_1(t) - S_2(t)]^2 dt}} \right]$$

$$P_e = \frac{1}{2} \text{ERFC} \left[ \frac{1}{2} \sqrt{\int_0^T [S_1(t) - S_2(t)]^2 dt} / N_0 \right]$$

If  $\int_0^T S_1^2(t) dt + \int_0^T S_2^2(t) dt$  is fixed for  $P_1 = P_2$  then

To minimize  $P_e$ , let  $S_2(t) = -S_1(t)$  (maximizes  $\int_0^T [S_1(t) - S_2(t)]^2 dt$ )

# Examples of Binary Communications Systems

$$P_e = \frac{1}{2} \text{ERFC} \left[ \frac{1}{2} \sqrt{\frac{T}{N_0} \int_0^T [S_1(t) - S_2(t)]^2 dt} \right]$$

Assume  $P_1 = P_2 = \frac{1}{2}$  and define  $\int_0^T s_1^2(t) dt \triangleq E$

Modulation type	$s_1(t)$	$s_2(t)$	$P_e$
“OOK” (on-off keying)	$A \cos \omega_0 t$	0	$\frac{1}{2} \text{ERFC} \sqrt{E/4N_0}$ $= \frac{1}{2} \text{ERFC} \sqrt{E_{\text{avg}}/2N_0}$
“FSK” (frequency-shift keying)	$A \cos \omega_1 t$	$A \cos \omega_2 t$	$\frac{1}{2} \text{ERFC} \sqrt{E_{\text{avg}}/2N_0}$
“BPSK” binary phase-shift keying)	$A \cos \omega t$	$-A \cos \omega t$	$\frac{1}{2} \text{ERFC} \sqrt{E_{\text{avg}}/N_0}$

# Examples of Binary Communications Systems

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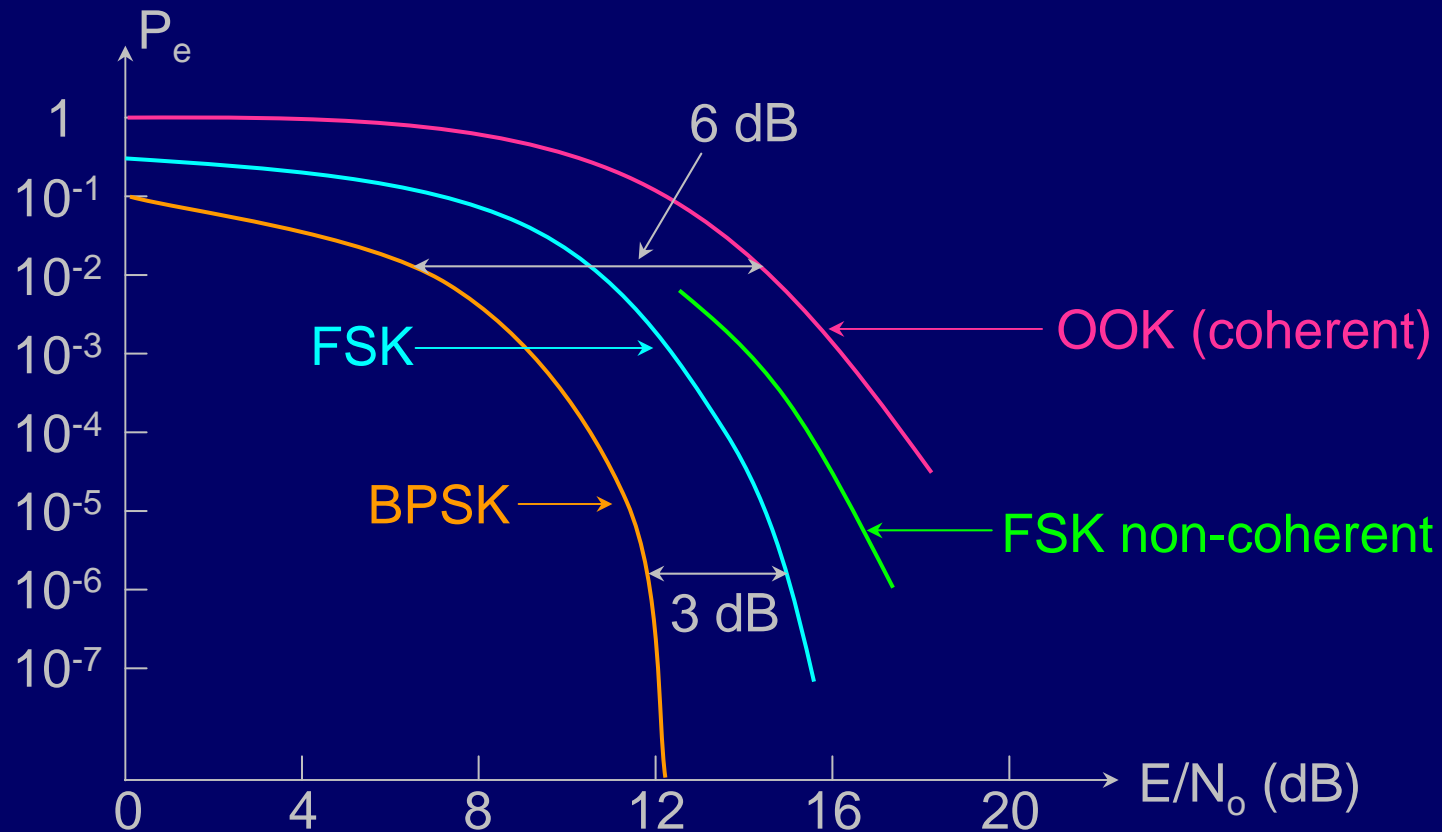
Note:

$$P_e = f(E_{AVG}/N_o)$$

↑                    ↑  
[J]                [W Hz<sup>-1</sup> = J]

Cost of communications  $\propto$  cost of energy, Joules per bit  
(e.g. very low bit rates imply very low  
power transmitters, small antennas)

# Probability of Baud Error



**Non-coherent FSK:** carrier is unsynchronized so that both sine and cosine terms admitted, increasing noise. Such “envelope detectors have a different form of  $P_e(E/N_0)$ .”

Note how rapidly  $P_e$  declines for  $E/N_0 \gtrsim 12 - 16$  dB